Client Questionnaire

Mouse over the field to get help/tips on filling the field. Use mouse or "Tab" & "Shift-Tab" to switch between fields.

Financial planning is a very personal process. Any recommendations have to be appropriate for the particular circumstances and preferences of the client. In addition, the Corporations Law, requires that an adviser must have reasonable grounds for making investment recommendations. For this we have to know your personal situation.

What your adviser needs to know
Give your adviser accurate information. What's needed will depend on what advice you're asking for. Make sure your adviser clearly understands what you want advice about, and what you're aiming for.

Expect to tell your adviser:

- your age and any major health problems
- who depends on you financially or for other support
- your income and expenses and how these may change, for example, family plans, education expenses, travel, house renovations or new car
- your assets and liabilities, including your super, insurance, tax, income and what you might inherit

For retirement planning advice, expect to answer some very detailed questions, because your adviser will have to think about tax and social security issues, as well as your likely retirement needs. If your adviser doesn't ask for this information, consider looking for a better one because you may end up with poor advice.

If you can give only limited or incomplete information, tell your adviser. Your adviser needs to know if they have only part of the picture. They will warn you that their advice will be based only on what you have told them.

Discuss the risks you're willing to take
At an early stage many advisers will ask you about your attitude to risk, especially if you're likely to be making some investments. Investment advice aims to strike a balance between earning enough money to meet your needs and avoiding the risk of unacceptable losses.

You don't need to fill everything in – you may not have the information or it may be too complex in the beginning or you may want to talk about it further or you may only wish to tell us some facts verbally or you may want to know why we want to know a particular fact. If there isn't enough room, you could use an asterisk and add further information below.

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Personal Details

You only need to fill in the details you haven't given us already. For example, if you gave us a business card or if your email footer contains a lot of details, you don't need to repeat them here.

	Person One	**Person Two**
Title (Mr, Mrs, Ms..)		
Surname:		
Given Names:		
Preferred Name:		
Date of Birth:		
Marital Status:		
Residential Address:		
Postal Address:		
Home Phone:		
Home Email:		
Work Phone:		
Mobile Phone:		
Work Email:		
Interests if relevant:		
Employer:		
Job Title:		
Employment Status: Full-time / Part-Time / Casual / Self Employed		
Is this secure work?		
Do you smoke?		
Do you have any health issues?		
Do you have any Children?	☐ Yes	☐ No

Full Name	Male/Female	Date Of Birth	Is the Child Dependant?	
			☐ Yes	☐ No
			☐ Yes	☐ No
			☐ Yes	☐ No